

FACTS

WHAT DOES JWTT, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all, sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number, driver’s license, passport, or other government ID number
- Employment information, account balances, transaction history and credit history
- Income and assets

When you are *no longer* our customer, we continue to share your information as described in this notice.

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons JWTT, LLC (“JWTT”) chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does JWTT share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	No	We don’t share
For our affiliates’ everyday business purposes— information about your creditworthiness	No	We don’t share
For non-affiliates to market to you – only for registered representatives that leave JWTT	Yes*	Yes

* JWTT has signed the Protocol for Broker Recruiting (“Protocol”), which allows the representative servicing your account to take certain limited information in the event he/she leaves JWTT and joins another firm which has also signed the Protocol. The information is limited to your name, address, email address, and the title of your account(s). If you choose to limit this information, JWTT will notify your representative of your decision to keep this information confidential and that you do not want this information shared by your representative with his/her new firm. We do not otherwise share with non-affiliates for marketing purposes.

Questions?

Call 971-323-0700 or email compliance@jwttinc.com

Who we are	
Who is providing this notice?	JWTT, LLC
What we do	
How does JWTT protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We also restrict access to your personal information to those individuals who need to know the information to provide services and/or products to you, or those individuals you have specifically authorized.
How does JWTT collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> ■ Open a new account with us and with our clearing firm. ■ Provide new account profile information.
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ■ Paulson Investment Company LLC
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> ■ Our non-affiliated business partners include Apex Clearing Corporation
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. We have no joint marketing agreements.
To Limit our Sharing	<ul style="list-style-type: none"> ■ Call 971-323-0700 and ask to speak to Compliance; email compliance@jwttinc.com ■ Mail the form on the next page <p>If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice, but you can contact us at any time to limit our sharing. When you are no longer our customer, we continue to share your information as described in this notice.</p>

Mail-In Opt-Out Form

Leave blank OR

If you have a joint account, your choice will apply to everyone on your account unless you mark below:

Apply my choices only to me

Mark if you wish to limit:

Should my registered representative leave JWTT, Inc., do not share my information with my registered representative's new firm or permit my registered representative to maintain or retain copies of my information.

Name: _____

Address: _____

City, State ZIP: _____

Mail to:

JWTT, LLC

Attn: Compliance

12 Crown Plaza, Suite 201

Hazlet, NJ 07730

Email to:

compliance@jwttinc.com